### § 170.31

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting §170.21, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and at www.fdsys.gov.

# § 170.31 Schedule of fees for materials licenses and other regulatory services, including inspections, and import and export licenses.

Applicants for materials licenses, import and export licenses, and other regulatory services, and holders of mate-

rials licenses or import and export licenses shall pay fees for the following categories of services. For those fee categories identified to be subject to full cost fees, full cost fees will be assessed for all licensing and inspection activities, unless otherwise indicated.

#### SCHEDULE OF MATERIALS FEES

Category of materials licenses and type of fees 1	Fee <sup>23</sup>
Special nuclear material:	
A. (1) Licenses for possession and use of U-235 or plutonium for fuel fabrication activities.	
(a) Strategic Special Nuclear Material (High Enriched Uranium) [Program Code(s): 21130]	Full Cost.
(b) Low Enriched Uranium in Dispersible Form Used for Fabrication of Power Reactor Fuel	Full Cost.
[Program Code(s): 21210].	
(2) All other special nuclear materials licenses not included in Category 1.A.(1) which are licensed	
for fuel cycle activities.	
(a) Facilities with limited operations [Program Code(s): 21310, 21320]	
(b) Gas centrifuge enrichment demonstration facilities	
(c) Others, including hot cell facilities	
waste at an independent spent fuel storage installation (ISFSI) [Program Code(s): 23200].	Full Cost.
C. Licenses for possession and use of special nuclear material of less than a critical mass as de-	
fined in §70.4 in sealed sources contained in devices used in industrial measuring systems, in-	
cluding x-ray fluorescence analyzers. <sup>4</sup>	
Application [Program Code(s): 22140]	\$1,200.
D. All other special nuclear material licenses, except licenses authorizing special nuclear material in	ψ.,200.
sealed or unsealed form in combination that would constitute a critical mass, as defined in §70.4	
of this chapter, for which the licensee shall pay the same fees as those under Category 1.A.4	
Application [Program Code(s): 22110, 22111, 22120, 22131, 22136, 22150, 22151, 22161,	\$2,500.
22170, 23100, 23300, 23310].	
E. Licenses or certificates for construction and operation of a uranium enrichment facility [Program	Full Cost.
Code(s): 21200].	
F. For special nuclear materials licenses in sealed or unsealed form of greater than a critical mass	Full Cost.
as defined in § 70.4 of this chapter.4 [Program Code(s): 22155].	
2. Source material:	
A. (1) Licenses for possession and use of source material for refining uranium mill concentrates to	Full Cost.
uranium hexafluoride or for deconverting uranium hexafluoride in the production of uranium oxides for disposal. [Program Code(s): 11400].	
(2) Licenses for possession and use of source material in recovery operations such as milling, <i>in-</i>	
situ recovery, heap-leaching, ore buying stations, ion-exchange facilities, and in processing of	
ores containing source material for extraction of metals other than uranium or thorium, including	
licenses authorizing the possession of byproduct waste material (tailings) from source material re-	
covery operations, as well as licenses authorizing the possession and maintenance of a facility in	
a standby mode.	
(a) Conventional and Heap Leach facilities [Program Code(s): 11100]	Full Cost.
(b) Basic In Situ Recovery facilities [Program Code(s): 11500]	
(c) Expanded In Situ Recovery facilities [Program Code(s): 11510]	
(d) In Situ Recovery Resin facilities [Program Code(s): 11550]	
(e) Resin Toll Milling facilities [Program Code(s): 11555]	
(f) Other facilities [Program Code(s): 11700]	
(3) Licenses that authorize the receipt of byproduct material, as defined in Section 11e.(2) of the	Full Cost.
Atomic Energy Act, from other persons for possession and disposal, except those licenses sub-	
ject to the fees in Category 2.A.(2) or Category 2.A.(4) [Program Code(s): 11600, 12000].  (4) Licenses that authorize the receipt of byproduct material, as defined in Section 11e.(2) of the	Full Cost.
Atomic Energy Act, from other persons for possession and disposal incidental to the disposal of	
the uranium waste tailings generated by the licensee's milling operations, except those licenses	
subject to the fees in Category 2.A.(2) [Program Code(s): 12010].	
(5) Licenses that authorize the possession of source material related to removal of contaminants	Full Cost.
(source material) from drinking water [Program Code(s): 11820].	. 3 000
B. Licenses which authorize the possession, use, and/or installation of source material for shield-	
ing. <sup>678</sup>	
Application [Program Code(s): 11210]	\$1,180.
C. Licenses to distribute items containing source material to persons exempt from the licensing re-	
quirements of part 40 of this chapter.	
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## SCHEDULE OF MATERIALS FEES—Continued

Category of materials licenses and type of fees 1	Fee <sup>23</sup>
Application [Program Code(s): 11240]  D. Licenses to distribute source material to persons generally licensed under part 40 of this chapter.	\$2,700.
Application [Program Codes(s): 11230, 11231]	\$2,700.
or materials containing source material for commercial distribution. Application [Program Code(s): 11710]	\$2,500.
Application [Program Code(s): 11200, 11220, 11221, 11300, 11800, 11810]	\$2,500.
A. Licenses of broad scope for the possession and use of byproduct material issued under parts 30 and 33 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution.	
Application [Program Code(s): 03211, 03212, 03213]  B. Other licenses for possession and use of byproduct material issued under part 30 of this chapter for processing or manufacturing of items containing byproduct material for commercial distribution.	\$12,500.
Application [Program Code(s): 03214, 03215, 22135, 22162]	\$3,500.
Application [Program Code(s): 02500, 02511, 02513]	\$5,000. N/A.
Application [Program Code(s): 03510, 03520]	
Application [Program Code(s): 03511]	\$6,300.
Application [Program Code(s): 03521]  H. Licenses issued under Subpart A of part 32 of this chapter to distribute items containing byproduct material that require device review to persons exempt from the licensing requirements of part 30 of this chapter. The category does not include specific licenses authorizing redistribution of items that have been authorized for distribution to persons exempt from the licensing requirements of part 30 of this chapter.	\$59,800.
Application [Program Code(s): 03254, 03255, 03257]	\$6,400.
Application [Program Code(s): 03250, 03251, 03252, 03253, 03256]	\$10,600.
Application [Program Code(s): 03240, 03241, 03243]	\$1,900.
Application [Program Code(s): 03242, 03244]	\$1,100.

## SCHEDULE OF MATERIALS FEES—Continued

Category of materials licenses and type of fees <sup>1</sup>	Fee <sup>23</sup>
Application [Program Code(s): 01100, 01110, 01120, 03610, 03611, 03612, 03613]	\$5,300.
Application [Program Code(s): 03620]	\$4,800.
N. Licenses that authorize services for other licensees, except: (1) Licenses that authorize only calibration and/or leak testing services are subject to the fees specified in fee Category 3.P.; and	
(2) Licenses that authorize waste disposal services are subject to the fees specified in fee Categories 4.A., 4.B., and 4.C.	
Application [Program Code(s): 03219, 03225, 03226]  O. Licenses for possession and use of byproduct material issued under part 34 of this chapter for industrial radiography operations.	\$6,100.
Application [Program Code(s): 03310, 03320]	\$3,100.
Application [Program Code(s): 02400, 02410, 03120, 03121, 03122, 03123, 03124, 03130, 03140, 03220, 03221, 03222, 03800, 03810, 22130].	\$2,600.
Q. Registration of a device(s) generally licensed under part 31 of this chapter.  Registration	\$400.
<ul> <li>R. Possession of items or products containing radium-226 identified in 10 CFR 31.12 which exceed the number of items or limits specified in that section.<sup>5</sup></li> <li>1. Possession of quantities exceeding the number of items or limits in 10 CFR 31.12(a)(4), or (5) but less than or equal to 10 times the number of items or limits specified.</li> </ul>	<b>7.22</b>
Application [Program Code(s): 02700]  2. Possession of quantities exceeding 10 times the number of items or limits specified in 10 CFR 31.12(a)(4), or (5).	\$2,500.
Application [Program Code(s): 02710]	\$2,400.
Application [Program Code(s): 03210]	\$13,700.
A. Licenses specifically authorizing the receipt of waste byproduct material, source material, or special nuclear material from other persons for the purpose of contingency storage or commercial land disposal by the licensee; or licenses authorizing contingency storage of low-level radioactive waste at the site of nuclear power reactors; or licenses for receipt of waste from other persons for incineration or other treatment, packaging of resulting waste and residues, and transfer of packages to another person authorized to receive or dispose of waste material. [Program Code(s): 03231, 03233, 03235, 03236, 06100, 06101]. B. Licenses specifically authorizing the receipt of waste byproduct material, source material, or special nuclear material from other persons for the purpose of packaging or repackaging the material. The licensee will dispose of the material by transfer to another person authorized to receive or dispose of the material.	N/A.
Application [Program Code(s): 03234].  C. Licenses specifically authorizing the receipt of prepackaged waste byproduct material, source material, or special nuclear material from other persons. The licensee will dispose of the material by transfer to another person authorized to receive or dispose of the material.	\$6,700.
Application [Program Code(s): 03232]	\$4,800.
A. Licenses for possession and use of byproduct material, source material, and/or special nuclear material for well logging, well surveys, and tracer studies other than field flooding tracer studies.      Application [Program Code(s): 03110, 03111, 03112]	\$4,400.
B. Licenses for possession and use of byproduct material for field flooding tracer studies.  Licensing [Program Code(s): 03113]	Full Cost.
Nuclear laundries:  A. Licenses for commercial collection and laundry of items contaminated with byproduct material, source material, or special nuclear material.	r un Goot.
Application [Program Code(s): 03218]	\$21,400.
A. Licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, or special nuclear material in sealed sources contained in gamma stereotactic radiosurgery units, teletherapy devices, or similar beam therapy devices.      Application [Program Code(s): 02300, 02310]	\$10,700.
B. Licenses of broad scope issued to medical institutions or two or more physicians under parts 30, 33, 35, 40, and 70 of this chapter authorizing research and development, including human use of byproduct material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. This category also includes the possession and use of source material for shielding when authorized on the same license. <sup>10</sup>	
Application [Program Code(s): 02110]	\$8,400.
C. Other licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, or special nuclear material in sealed sources contained in teletherapy devices. Application [Program Code(s): 02120, 02121, 02200, 02201, 02210, 02220, 02230, 02231,	

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## SCHEDULE OF MATERIALS FEES—Continued

Category of materials licenses and type of fees <sup>1</sup>	Fee <sup>23</sup>
3. Civil defense:	
A. Licenses for possession and use of byproduct material, source material, or special nuclear material for civil defense activities.	
Application [Program Code(s): 03710]	\$2 500
Device, product, or sealed source safety evaluation:  Device, product, or sealed source safety evaluation:	φ2,000.
A. Safety evaluation of devices or products containing byproduct material, source material, or spe-	
cial nuclear material, except reactor fuel devices, for commercial distribution.	ΦE 000
Application—each device	\$5,200.
cial nuclear material manufactured in accordance with the unique specifications of, and for use	
by, a single applicant, except reactor fuel devices.	
Application—each device	\$8,700.
C. Safety evaluation of sealed sources containing byproduct material, source material, or special nuclear material, except reactor fuel, for commercial distribution.	
Application—each source	\$5,100.
D. Safety evaluation of sealed sources containing byproduct material, source material, or special	
nuclear material, manufactured in accordance with the unique specifications of, and for use by, a	
single applicant, except reactor fuel.  Application—each source	\$1,020.
0. Transportation of radioactive material:	ψ1,020.
A. Evaluation of casks, packages, and shipping containers.	
1. Spent Fuel, High-Level Waste, and plutonium air packages	
Other Casks  B. Quality assurance program approvals issued under part 71 of this chapter.	Full Cost.
Users and Fabricators.  1. Users and Fabricators.	
Application	\$4,000.
Inspections	Full Cost.
2. Users.	\$4,000.
Application	Full Cost.
C. Evaluation of security plans, route approvals, route surveys, and transportation security devices	
(including immobilization devices).	
Review of standardized spent fuel facilities     Special projects:	Full Cost.
Including approvals, pre-application/licensing activities, and inspections.	
Application [Program Code: 25110]	Full Cost.
3. A. Spent fuel storage cask Certificate of Compliance	Full Cost.
B. Inspections related to storage of spent fuel under §72.210 of this chapter	Full Cost. Full Cost.
sioning, decontamination, reclamation, or site restoration activities under parts 30, 40, 70, 72, and 76 of	i un oost.
this chapter, including MMLs. Application [Program Code(s): 3900, 11900, 21135, 21215, 21240, 21325,	
22200].	F. II O4
B. Site-specific decommissioning activities associated with unlicensed sites, including MMLs, regardless of whether or not the sites have been previously licensed.	Full Cost.
5. Import and Export licenses:	
icenses issued under part 110 of this chapter for the import and export only of special nuclear material,	
source material, tritium and other byproduct material, and the export only of heavy water, or nuclear grade	
graphite (fee categories 15.A. through 15.E.).  A. Application for export or import of nuclear materials, including radioactive waste requiring Com-	
mission and Executive Branch review, for example, those actions under 10 CFR 110.40(b).	
Application—new license, or amendment; or license exemption request	\$17,400.
B. Application for export or import of nuclear material, including radioactive waste, requiring Execu-	
tive Branch review, but not Commission review. This category includes applications for the export and import of radioactive waste and requires NRC to consult with domestic host state authorities	
(i.e., Low-Level Radioactive Waste Compact Commission, the U.S. Environmental Protection	
Agency, etc.).	
Application—new license, or amendment; or license exemption request	\$9,400.
C. Application for export of nuclear material, for example, routine reloads of low enriched uranium reactor fuel and/or natural uranium source material requiring the assistance of the Executive	
Branch to obtain foreign government assurances.	
Application—new license, or amendment; or license exemption request	\$4,300.
D. Application for export or import of nuclear material not requiring Commission or Executive	
Branch review, or obtaining foreign government assurances.	\$4,800.
	ψ+,000.
Application—new license, or amendment; or license exemption request	
Application—new license, or amendment; or license exemption request	
Application—new license, or amendment; or license exemption request	
Application—new license, or amendment; or license exemption request	

#### SCHEDULE OF MATERIALS FEES—Continued

[See footnotes at end of table]

Category of materials licenses and type of fees <sup>1</sup>	Fee <sup>23</sup>
Licenses issued under part 110 of this chapter for the import and export only of Category 1 and Category 2 quantities of radioactive material listed in Appendix P to part 110 of this chapter (fee categories 15.F. through 15.R.).	
Category 1 (Appendix P. 10 CFR Part 110) Exports:	
F. Application for export of Appendix P Category 1 materials requiring Commission review (e.g. exceptional circumstance review under 10 CFR 110.42(e)(4)) and to obtain government-to-government consent for this process. For additional consent see 15.l.).	
Application—new license, or amendment; or license exemption request	\$14,700.
Application—new license, or amendment; or license exemption request	\$8,000.
Application—new license, or amendment; or license exemption request	\$5,400.
application or active export license.  Application—new license, or amendment; or license exemption request	\$270.
J. Application for export of Appendix P Category 2 materials requiring Commission review (e.g. exceptional circumstance review under 10 CFR 110.42(e)(4)).	
Application—new license, or amendment; or license exemption request	\$14,700.
Application—new license, or amendment; or license exemption request	\$8,000.
Application—new license, or amendment; or license exemption request	
M. [Reserved]	
N. [Reserved]	
O. [Reserved]	
P. [Reserved]	
Q. [Reserved]	N/A.
Minor Amendments (Category 1 and 2, Appendix P, 10 CFR Part 110, Export):	
R. Minor amendment of any active export license, for example, to extend the expiration date, change domestic information, or make other revisions which do not involve any substantive changes to license terms and conditions or to the type/quantity/chemical composition of the material authorized for export and, therefore, do not require in-depth analysis, review, or consultations with other Executive Branch, U.S. host state, or foreign authorities.	
Minor amendment	\$1,300.
16. Reciprocity:  Agreement State licensees who conduct activities under the reciprocity provisions of 10 CFR 150.20.  Application	\$1,900.
17. Master materials licenses of broad scope issued to Government agencies.  Application [Program Code(s): 03614]	
<ol> <li>Department of Energy.</li> <li>A. Certificates of Compliance. Evaluation of casks, 11packages, and shipping containers (including</li> </ol>	Full Cost.
spent fuel, high-level waste, and other casks, and plutonium air packages).  B. Uranium Mill Tailings Radiation Control Act (UMTRCA) activities	Full Cost.

(e) Generally licensed device registrations under 10 CFR 31.5. Submittals of registration information must be accompanied by the prescribed fee.

<sup>2</sup> Fees will not be charged for orders related to civil penalties or other civil sanctions issued by the Commission under 10 CFR 2.202 or for amendments resulting specifically from the requirements of these orders. For orders unrelated to civil penalties or other civil sanctions, fees will be charged for any resulting licensee-specific activities not otherwise exempted from fees under this chapter. Fees will be charged for approvals issued under a specific exemption provision of the Commission's regulations under Title 10 of the *Code of Federal Regulations* (e.g., 10 CFR 30.11, 40.14, 70.14, 73.5, and any other sections in effect now or in the future), regardless of whether the approval is in the form of a license amendment, letter of approval, safety evaluation report, or other form. In addition to the fee shown, an applicant may be assessed an additional fee for sealed source and device evaluations as shown in fee categories 9.A. through 9.D.

<sup>3</sup> Full Cost. fees will be determined based on the professional staff time multiplied by the appropriate professional hourly rate established in \$170.20 in effect when the service is provided, and the appropriate contractual support services expended.

established in § 170.20 in effect when the service is provided, and the appropriate contractual support services expended.

4Licensees paying fees under categories 1.A., 1.B., and 1.E. are not subject to fees under categories 1.C., 1.D. and 1.F. for sealed sources authorized in the same license, except for an application that deals only with the sealed sources authorized by

<sup>5</sup> Persons who possess radium sources that are used for operational purposes in another fee category are not also subject to the fees in this category. (This exception does not apply if the radium sources are possessed for storage only.)

<sup>6</sup> Licensees subject to fees under fee categories 1.A., 1.B., 1.E., or 2.A. must pay the largest applicable fee and are not subject to the categories 1.A., 1.B., 1.E., or 2.A. must pay the largest applicable fee and are not subject to the categories 1.A., 1.B., 1.E., or 2.A. must pay the largest applicable fee and are not subject to the categories 1.A., 1.B., 1.E., 1.E

ject to additional fees listed in this table Licensees paying fees under 3.C. are not subject to fees under 2.B. for possession and shielding authorized on the same li-

BLicensees paying fees under 7.C. are not subject to fees under 2.B. for possession and shielding authorized on the same li-

Elicensees paying fees under 3.N. are not subject to paying fees under 3.P. for calibration or leak testing services authorized

on the same license.

1º Licenseses paying fees under 7.B. are not subject to paying fees under 7.C. for broad scope license licenses issued under parts 30, 35, 40, and 70 of this chapter for human use of byproduct material, source material, and/or special nuclear material, except licenses for byproduct material, source material, source material, and/or special nuclear material in sealed sources contained in teletherapy devices authorized on the same license.

[71 FR 30747, July 31, 2006, as amended at 75 FR 34235, June 16, 2010; 76 FR 36797, June 22, 2011; 76 FR 72087, Nov. 22, 2011; 77 FR 35827, June 13, 2012; 78 FR 32341, May 29, 2013; 78 FR 39428, July 1, 2013; 78 FR 54959, Sept. 9, 2013; 79 FR 37145, June 30, 2014; 79 FR 51471, Aug. 29, 2014; 80 FR 37455, June 30, 2015]

## §170.32 Schedule of fees for health and safety, and safeguards inspections for materials licenses.

Materials licensees shall pay inspection fees as set forth in §170.31.

[53 FR 52652, Dec. 29, 1988]

#### ENFORCEMENT

#### §170.41 Failure by applicant or licensee to pay prescribed fees.

If the Commission determines that an applicant or a licensee has failed to pay a prescribed fee required in this part, the Commission will not process any application and may suspend or revoke any license or approval issued to the applicant or licensee. The Commission may issue an order with respect to licensed activities that the Commission determines to be appropriate or necessary to carry out the provisions of this part, parts 30, 31, 32 through 35, 40, 50, 61, 70, 71, 72, 73, and 76 of this chapter, and of the act.

[66 FR 32474, June 14, 2001]

#### §170.51 Right to review and appeal of prescribed fees.

All debtors' requests for review of the fees assessed and appeal or disagreement with the prescribed fee (staff hours and contractual) must be submitted in accordance with the provisions of 10 CFR 15.31, "Disputed Debts," of this title.

[49 FR 21309, May 21, 1984; 49 FR 24113, June 12, 19841

PART 171—ANNUAL FEES FOR RE-ACTOR LICENSES AND FUEL CYCLE LICENSES AND MATERIALS LICENSES, INCLUDING HOLDERS OF CERTIFICATES OF COMPLI-ANCE, REGISTRATIONS, QUALITY ASSURANCE PROGRAM APPROVALS AND GOVERNMENT AGENCIES LICENSED BY THE NRC

Sec.

171.1 Purpose.

171.3Scope.

171.5 Definitions.

171.7 Interpretations.

171.8 Information collection requirements: OMB approval.

171.9 Communications.

171.11 Exemptions.

171.13 Notice.

171.15 Annual fees: Reactor licenses and independent spent fuel storage licenses.

171.16 Annual fees: Materials licensees, holders of certificates of compliance. holders of sealed source and device registrations, holders of quality assurance program approvals, and government agencies licensed by the NRC.

171.17 Proration.